



DECLARATION FOR UNITED STATES
UTILITY OR DESIGN PATENT APPLICATION
(37 CFR 1.63)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; that

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM FOR OBTAINING SIGNATURES ON A SINGLE AUTHORITATIVE COPY OF AN ELECTRONIC
RECORD

described and claimed in the specification:

- * a. ☐ attached hereto.
b. ☒ filed on November 14, 2001 as Application No. 09/993,132.

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in a printed publication in any foreign country before my invention thereof or more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative(s) or assign(s) more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

Under Title 35, U.S. Code §119, the priority benefits of the following United States provisional application(s) and/or foreign application(s) filed by me or my legal representatives or assigns are hereby claimed:

None

Under Title 35, U.S. Code §120, the priority benefits of the following United States application(s) and/or PCT international application(s) designating the United States of America filed by me or my legal representatives or assigns are hereby claimed:

None

The following application(s) for patent or inventor's certificate on this invention were filed in countries foreign to the United States of America either (a) more than one year prior to this application, or (b) before the filing date of the above-named foreign priority application(s) and/or United States provisional application(s):

None

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, U.S. Code §112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 and that is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent, that occurred between the filing date of the prior application(s) and the National or PCT International filing date of this application. (37 CFR §1.63(e))

I hereby declare that I have reviewed and understand the contents of this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1 **Typewritten Full Name
of First or Sole Inventor**

Charles	F.	Hawkins
Given Name	Middle Initial	Family Name

2 ****INVENTOR'S SIGNATURE:**

3 ****DATE OF SIGNATURE:**

February	6	2002
Month	Day	Year

Residence:	Virginia Beach	Virginia	U.S.A.
	City	State or Province	Country

Citizenship: U.S.A.

Post Office Address:

(Insert complete
mailing address,
including country)

928 Parkland Lane

Virginia Beach, Virginia 23464 U.S.A.

1 **Typewritten Full Name
of Second Joint Inventor (if any)**

Donald	J.	Plaster
Given Name	Middle Initial	Family Name

2 ****INVENTOR'S SIGNATURE:**

3 ****DATE OF SIGNATURE:**

February	6	2002
Month	Day	Year

Residence:	Virginia Beach	Virginia	U.S.A.
	City	State or Province	Country

Citizenship: U.S.A.

Post Office Address:

(Insert complete
mailing address,
including country)

1305 White Marlin Lane

Virginia Beach, Virginia 23464 U.S.A.

1 **Typewritten Full Name
of Third Joint Inventor (if any)**

Scott	G.	Ainsworth
Given Name	Middle Initial	Family Name

2 ****INVENTOR'S SIGNATURE:**

3 ****DATE OF SIGNATURE:**

February	6	2002
Month	Day	Year

Residence:	Virginia Beach	Virginia	U.S.A.
	City	State or Province	Country

Citizenship: U.S.A.

Post Office Address:

(Insert complete
mailing address,
including country)

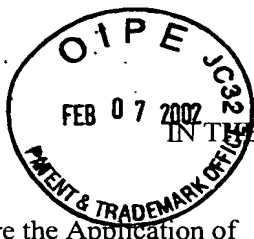
4420 Ironwood Drive

Virginia Beach, Virginia 23462 U.S.A.

*This form may be executed only when attached to the specification (including claims) if Box a. is checked.

**Note to Inventor(s): Please sign name exactly as it appears above and insert actual date of signing.

IF THERE ARE MORE THAN THREE INVENTORS USE PAGE 3 AND PLACE AN "X" HERE ☐



#3
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Charles F. Hawkins, et al.

Application No.: 09/993,132

Filed: November 14, 2001

Docket No.: COM001

For: SYSTEM FOR OBTAINING SIGNATURES ON A SINGLE AUTHORITATIVE COPY
OF AN ELECTRONIC RECORD

POWER OF ATTORNEY BY ASSIGNEE AND STATEMENT UNDER 37 CFR 3.73(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

COMPASS TECHNOLOGY MANAGEMENT, INC., a Delaware corporation, Assignee of the
entire right, title and interest in the above patent application by virtue of:

- ☒ An Assignment from the inventors of the patent application identified
above.
- ☒ A separate copy of the Assignment (i.e., the original assignment document or a true
copy of the original document) is concurrently submitted to the Assignment Division in
accordance with 37 CFR Part 3, such that the Assignment may be recorded in the
records of the PTO.
- ☒ A copy of the concurrently filed Assignment is attached.

hereby revokes all prior powers of attorney and appoints the following as attorneys of record with full
power of substitution and revocation to prosecute this application and all continuations and divisions
thereof, and to transact all business in the Patent and Trademark Office connected therewith:


Auzville Jackson Jr., Reg. No. 17,306 and Peter A. Shaddock II, Reg. No. 44,331

**ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE
SENT TO:**

**KAUFMAN & CANOLES
ATTN: PETER A. SHADDOCK II
ONE COMMERCIAL PLACE
P.O. BOX 3037
NORFOLK, VIRGINIA 23514
TELEPHONE (757) 624-3342**

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

COMPASS TECHNOLOGY MANAGEMENT, INC.

By: 
Name: PETER J NATALE
Title: SR. VP

Address:
Compass Technology Management, Inc.
505 Independence Parkway
Suite 101
Chesapeake, VA 23320

#742735 v1

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/WE, the undersigned,

Charles F. Hawkins, Donald J. Plaster, and Scott G. Ainsworth

who have created a certain new and useful invention and discovery, for which an application for United States Letters Patent entitled,

SYSTEM FOR OBTAINING SIGNATURES ON A SINGLE AUTHORITATIVE COPY OF AN ELECTRONIC RECORD

was filed on November 14, 2001 in the United States Patent and Trademark Office (Application Serial Number 09/993,132)

Do hereby sell, assign, transfer, and convey, without reservations, to COMPASS TECHNOLOGY MANAGEMENT, INC., a Delaware corporation having a place of business at 505 Independence Parkway, Suite 101, Chesapeake, VA 23320, its successors, assigns, and legal representatives, the full and exclusive right to said invention and discovery and said application and to any and all inventions and discoveries described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent, and every priority right that is or may be predicated upon, or arise from, said invention, said discovery, said application, and said Letters Patent, which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts and treaties;

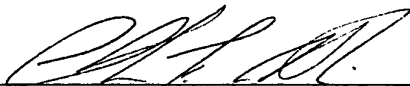
Agree that COMPASS TECHNOLOGY MANAGEMENT, INC., hereinafter referred to as ASSIGNEE, may apply for and receive Letters Patent for said invention and said inventions or said discovery and said discoveries, hereinafter referred to as said invention, in our name or in the name of said ASSIGNEE or otherwise as said ASSIGNEE may deem advisable, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said ASSIGNEE, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said ASSIGNEE, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said ASSIGNEE, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said ASSIGNEE, its successors, assigns, or legal representatives;


Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments throughout the world to issue or transfer all said Letters Patents to said ASSIGNEE, as assignee of the entire right, title, and interest therein or otherwise as said ASSIGNEE may direct;

Covenant with said ASSIGNEE, its successors; assigns, or legal representatives and warrant that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same without encumbrance as herein expressed is possessed by the undersigned.

Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon said ASSIGNEE's request and at said ASSIGNEE'S expense, promptly and without additional consideration to me or them, all acts reasonably serving to assure that said invention, said patent application, and said Letters Patent shall be held and enjoyed by said ASSIGNEE as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this Assignment had not been made and particularly to execute and deliver to said ASSIGNEE all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by said ASSIGNEE; and to communicate to said ASSIGNEE all facts known to me relating to said invention or the history thereof, and to testify as to the same in any court or proceeding; and to promptly furnish said ASSIGNEE any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said invention.

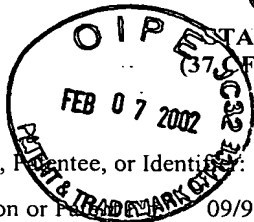
IN TESTIMONY WHEREOF I have hereunto set MY signature on the date indicated below.


Charles F. Hawkins Date: 2/6/2002


Donald J. Plaster Date: 2/6/2002


Scott G. Ainsworth Date: 2/6/02

Return Address: KAUFMAN & CANOLES
One Commercial Place
P.O. Box 3037
Norfolk, VA 23514



STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c))-SMALL BUSINESS CONCERN

Applicant, Inventor, or Identifier: Charles F. Hawkins, et al.

Application or Patent No.: 09/993,132

Attorney Docket No.: COM001

Filed: November 14, 2001

Title: SYSTEM FOR OBTAINING SIGNATURES ON A SINGLE AUTHORITATIVE COPY OF AN ELECTRONIC RECORD

I hereby state that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN COMPASS TECHNOLOGY MANAGEMENT, INC.

ADDRESS OF CONCERN 505 Independence Parkway, Suite 101, Chesapeake, VA 23320

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: SYSTEM FOR OBTAINING SIGNATURES ON A SINGLE AUTHORITATIVE COPY OF AN ELECTRONIC RECORD, by inventor(s): Charles F. Hawkins, et al., described in:

- ☐ the specification filed herewith.
☒ application no. 09/993,132, filed November 14, 2001.
☐ patent no. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

- ☒ no such person, concern, or organization exists.
☐ each such individual, concern or organization is listed below:

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

NAME OF PERSON SIGNING

PETER J NATALE

TITLE OF PERSON IF OTHER THAN OWNER

SR VP

ADDRESS OF PERSON SIGNING

1525 CHANDON CRESCENT

VIRGINIA BEACH, VIRGINIA 23454

SIGNATURE

DATE: February 6, 2002